09-11-06





PATENTS 8011-1 CIP CON

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

James J. Fallon

Application No. :

10/668,768

Conf. No.: 3325

Filed

September 22, 2003

For

CONTENT INDEPENDENT DATA COMPRESSION METHOD

AND SYSTEM

Art Unit

2819

Examiner

Linh V. Nguyen

Commissioner for Patents

P.O. Box 1450

New York, New York 10020

Alexandria, VA 22313-1450

September 8, 2006

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicant hereby makes the following documents of record in the above-identified patent application:

## U.S. Patent Documents

4,394,774	Widergren et al.	07-19-1983	(2)
5,209,220	Hiyama et al.	05-11-1993	(2)
5,379,757	Hiyama et al.	01-10-1995	(2)
6,169,241	Shimizu	01-02-2001	(2)
6,661,839	Ishida et al.	12-09-2003	(2)

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## Non-Patent Documents

"Operating System Platform Abstraction Method", (1)
IBM Technical Disclosure Bulletin, Feb. 1995,
Vol. 38, Issue No. 2, pp. 343-344

A copy of the aforementioned non-patent document, which is listed on the accompanying Form SB/08A (submitted in duplicate), is enclosed herewith.

The above-identified document marked with the numeral one, (1), was cited in U.S. Patent Application No. 09/775,897 in the Office Action mailed on December 24, 2003.

The above-identified documents marked with the numeral two, (2), were cited in U.S. Patent Application No. 10/628,795 in the Notice of Allowability mailed on August 29, 2006.

Documents identified in the above-identified Office
Action and Notice of Allowability that are not being cited
herewith are already of record in the present application.

It is respectfully requested that these documents be:

(1) fully considered by the Patent and Trademark Office during
the examination of this application; and (2) printed on any
patent which may issue on this application. Applicant requests
that a copy of Form SB/08A, as considered and initialed by the
Examiner, be returned with the next communication.

A Request for Continued Examination was filed on August 1, 2006. An Office Action on the merits has not been issued since the Request for Continued Examination was submitted. Accordingly, no fee is due. However, the Director is hereby authorized to charge payment of any fees required in connection with this paper, or credit any overpayment of the same, to Deposit Account No. 06-1075, Order No. 103532-0002. A duplicate copy of this paper is being submitted herewith.

An early and favorable action is respectfully requested.

Respectfully submitted,

Jeffrey\D. Mullen

Registration No. 52,056

Agent for Applicant

[X] Submitted under 37 C.F.R. § 1.34

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : James J. Fallon et al.

Application No. : 10/668,768 Confirmation No. : 3325

Filing Date : September 22, 2003

Title : CONTENT INDEPENDENT DATA COMPRESSION METHOD

AND SYSTEM

Art Unit : 2819

Examiner : Linh V. Nguyen

New York, New York 10020

September 8, 2006

Hon. Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

#### EXPRESS MAIL CERTIFICATION

Sir:

"Express Mail" mailing label number EV620761475US

Date of Deposit September 8, 2006

I hereby certify that the papers and fees identified below are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Supplemental Information Disclosure Statement (in duplicate);

PTO Form SB/08A (in duplicate);

Copy of Cited Non-Patent Literature Document; and,

Return Postcard.

ROSE MARIE DHANRAJ



SEP 0 8 2008

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if known Substitute for form 1449A/PTO **Application Number** 10/668,768 Filing Date September 22, 2003 SUPPLEMENTAL First Named Inventor Fallon INFORMATION DISCLOSURE Art Unit 2819 STATEMENT BY APPLICANT **Examiner Name** Linh V. Nguyen (use as many sheets as necessary) **Attorney Docket** of 1 8011-1 CIP CON Sheet Number

U.S. PATENT DOCUMENTS					
Examiner initials*	Cite No.1	Document Number Number – Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,394,774	07-19-1983	Widergren et al.	
		5,209,220	05-11-1993	Hiyama et al.	
		5,379,757	01-10-1995	Hiyama et al.	
		6,169,241	01-02-2001	Shimizu	
		6,661,839	12-09-2003	Ishida et al.	

NON PATENT LITERATURE DOCUMENTS				
Examiner initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>	
		"Operating System Platform Abstraction Method", IBM Technical Disclosure Bulletin, Feb. 1995, Vol. 38, Issue No. 2, pp. 343-344		

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Alexandria, VA 22313-1450.